



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF : M. Keresman, III, et al.  
FOR : SECURE AND EFFICIENT PAYMENT  
PROCESSING SYSTEM  
SERIAL NO. : Unknown  
FILED : Herewith  
EXAMINER : Unknown  
ART UNIT : Unknown  
LAST OFFICE ACTION : None  
ATTORNEY DOCKET NO. : PRA 2 0001

Cleveland, Ohio 44114-2518  
January 19, 2000

**37 C.F.R. 1.27 STATEMENT OF  
STATUS AS A SMALL BUSINESS ENTITY**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

The undersigned affirms:

That he is an officer of Cardinalcommerce.com, Inc., the assignee of the above-referenced patent application, and is empowered to act on behalf of the assignee;

That the assignment in favor of Cardinalcommerce.com, Inc. is being filed concurrently herewith for recording;

That the assignee, Cardinalcommerce.com, Inc., together with all of its affiliates combined had fewer than five hundred (500) employees including full-time, part-time, and temporary employees on the average during each pay period of the previous fiscal year of the assignee and its affiliates; and,

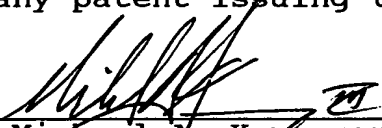
That the assignee has not assigned, granted, conveyed, or licensed, and is under no obligation under

contract or law to assign, grant, convey, or license any rights in the invention to any person who could not be classified as an independent inventor under 37 C.F.R. 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business under 37 C.F.R. 1.9(d) or a nonprofit organization under 37 C.F.R. 1.9(e).

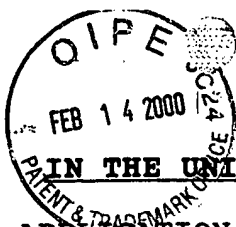
The undersigned acknowledges a duty to file, in this application or patents issuing thereon, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate.

The undersigned further declares that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful and false statements may jeopardize the validity of the application or any patent issuing thereon.

By:

  
Michael A. Keresman, III  
As President of  
Cardinalcommerce.com, Inc.

Date: 1/20/00



IN RE APPLICATION OF : M. Keresman, III, et al.  
FOR : SECURE ALTERNATE PAYMENT  
PROCESSING SYSTEM  
SERIAL NO. : 09/488,297  
FILED : January 20, 2000  
ATTORNEY DOCKET NO. : PRA 2 0001

Cleveland, Ohio 44114-2518  
February 9, 2000

Assistant Commissioner For Patents  
Washington, D.C. 20231

Attention: Refund Section, Accounting Division,  
Office of Finance

REQUEST FOR REFUND

Dear Sirs:

I. SUBMISSION OF VERIFIED STATEMENT

(Complete (a) or (b))

- ☒ (a) Attached is a verified statement claiming small entity status in this application.
- ☐ (b) A verified statement claiming small entity was filed in this application on \_\_\_\_\_.

II. REFUND REQUEST

This request for refund is made within two (2) months of the date a fee was paid in this application on January 20, 2000 in the amount of \$ 1,038.00.

III. FEES PAID FOR WHICH REFUND REQUESTED

	Amount of Refund Requested
<input checked="" type="checkbox"/> filing fee	\$ <u>519.00</u>
<input type="checkbox"/> surcharge for filing the basic fee on a date later than the filing date of the application	\$ _____

and/or

☐ surcharge for filing the oath or declaration on a date later than the filing date of the application \$ \_\_\_\_\_

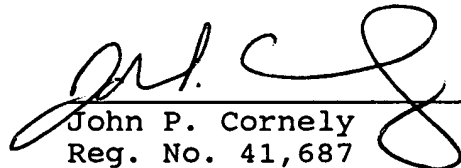
[ ] issue fee \$ \_\_\_\_\_  
[ ] patent maintenance fee \$ \_\_\_\_\_  
[ ] first maintenance fee \$ \_\_\_\_\_  
[ ] second maintenance fee \$ \_\_\_\_\_  
[ ] third maintenance fee \$ \_\_\_\_\_  
[ ] patent maintenance fee surcharge \$ \_\_\_\_\_  
[ ] other \$ \_\_\_\_\_  
**TOTAL REFUND REQUESTED \$ 519.00**

**IV. MANNER OF REFUND**

Please make refund by:

[X] crediting Account No. 06-0308  
[ ] refunding overpayment

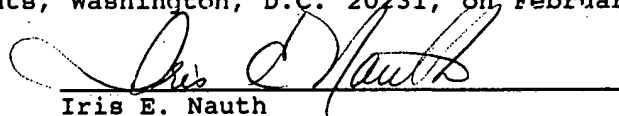
Respectfully submitted,



John P. Cornely  
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FAY, SHARPE, FAGAN,  
MINNICH & MCKEE, LLP  
1100 Superior Avenue  
Suite 700  
Cleveland, Ohio 44114-2518  
(216) 861-5582

**CERTIFICATE OF MAILING**

I hereby certify that this Request for Refund is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231, on February 9, 2000.



Iris E. Nauth

# FAY, SHARPE, FAGAN, MINNICH & MCKEE, LLP

PATENT TRADEMARK AND COPYRIGHT LAW

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OF COUNSEL

June 13, 2000

Mr. Allen McDonald  
Supervisory Patent Examiner  
United States Patent and Trademark Office  
Tech Center 2700, Group Art Unit 2765  
Crystal Park 2, Room 5Y01  
2121 Crystal Drive  
Arlington, VA 22202

Re: U.S. Patent Application  
For: **SECURE AND EFFICIENT PAYMENT  
PROCESSING SYSTEM**  
Serial No.: 09/488,297; Filed: January 20, 2000  
Our Reference: PRA 2 0001

Dear Mr. McDonald:

Enclosed are original documents previously filed and received (as evidenced by the PTO stamp) by the United States Patent and Trademark Office in connection with the above-captioned application. The documents include a 37 C.F.R. 1.27 Statement of Status as a Small Business Entity and a Request for Refund.

The documents appear to have been erroneously returned to this office along with the original assignment papers and Notice of Recordation of Assignment document. It is unclear if the documents have been properly considered and processed by the Patent Office. Accordingly, in response to this letter, written confirmation of the following is respectfully requested:

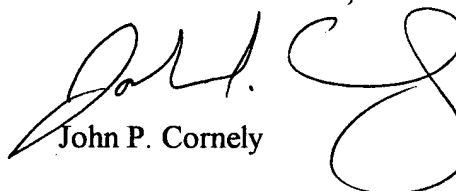
- 1) receipt of the documents enclosed;
- 2) proper processing of the documents enclosed;
- 3) acknowledgment of Small Entity Status; and
- 4) granting of the requested refund.

Mr. Allen McDonald  
June 13, 2000  
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Your time and prompt attention to this matter is greatly appreciated. If you should have any questions, please feel free to contact the below signed attorney.

Very truly yours,

FAY, SHARPE, FAGAN,  
MINNICH & McKEE, LLP



John P. Cornely

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Enclosures

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